

Docket No. 206523US0 PCT

IN THE UNITED STATES PATENT AND TRADE MARK OFFICE

#9  
03/07/02  
RECEIVED

IN RE APPLICATION OF: Kohki ISHIKAWA, et al.

SERIAL NO: 09/807,990

GAU: 1651

FILED: May 3, 2001

EXAMINER:

MAR 05 2002  
TECH CENTER 1600/2900

FOR: MUTANT NUCLEOSIDE-5'-PHOSPHATE PRODUCING ENZYMES

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

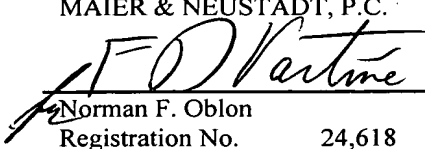
- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.  
206523US0 PCT

SERIAL NO.  
09/807,990

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Kohki ISHIKAWA, et al.

FILING DATE  
05/03/01

GROUP  
1651

U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME           | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|----------|----------------|-------|--------------|-------------------------------|
|                     | AA | 6,010,851          | 01/04/00 | MIHARA, et al. |       |              |                               |
|                     | AB | 6,015,697          | 01/18/00 | MIHARA, et al. |       |              |                               |
|                     | AC | 6,207,435          | 03/27/01 | MIHARA, et al. |       |              |                               |
|                     | AD |                    |          |                |       |              |                               |
|                     | AE |                    |          |                |       |              |                               |
|                     | AF |                    |          |                |       |              |                               |
|                     | AG |                    |          |                |       |              |                               |
|                     | AH |                    |          |                |       |              |                               |
|                     | AI |                    |          |                |       |              |                               |
|                     | AJ |                    |          |                |       |              |                               |
|                     | AK |                    |          |                |       |              |                               |
|                     | AL |                    |          |                |       |              |                               |
|                     | AM |                    |          |                |       |              |                               |
|                     | AN |                    |          |                |       |              |                               |

FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | TRANSLATION<br>YES NO |  |
|--|----|--------------------|------|---------|-----------------------|--|
|  | AO |                    |      |         |                       |  |
|  | AP |                    |      |         |                       |  |
|  | AQ |                    |      |         |                       |  |
|  | AR |                    |      |         |                       |  |
|  | AS |                    |      |         |                       |  |
|  | AT |                    |      |         |                       |  |
|  | AU |                    |      |         |                       |  |
|  | AV |                    |      |         |                       |  |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | AW |  |
|  | AX |  |
|  | AY |  |
|  | AZ |  |

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or<br/>Patent No.</u> | <u>Filing or<br/>Issue Date</u> | <u>Status<br/>or Patentee</u> |
|----------------------|---------------------------------|---------------------------------|-------------------------------|
| 203059US0            | 09/781,159                      | 02/13/01                        | PENDING                       |
| 214760US0            | 09/969,763                      | 10/04/01                        | PENDING                       |
| 0010-0830-0 PCT      | 6,010,851                       | 01/04/00                        | MIHARA, et al.                |
| 0010-0885-0          | 6,015,697                       | 01/18/00                        | MIHARA, et al.                |
| 0010-1038-0 DIV      | 6,207,435                       | 03/27/01                        | MIHARA, et al.                |
| 200049US0 DIV        | 09/727,578                      | 12/04/00                        | ALLOWED                       |
| 206523US0 PCT*       | 09/807,990                      | 05/03/01                        | PENDING                       |

\*Present application; listed for information.